

012304

13281

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3029-101

First Inventor

Frank Duane Lortscher, Jr.

Title

SYSTEM AND METHOD FOR GENERATING TRANSACTION  
BASED RECOMMENDATIONSPTO  
10/162466 Applicant for Published Patent Application:22390  
10/162466

012304

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.
3.  Specification Total pages [ 73 ]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) (Total Sheets) [ 16 ]
5.  Oath or Declaration (Total Pages) [ 2 ]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application  
(37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

i  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,
6.  Application Data Sheet.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
(when there is an assignee)
  - Power of Attorney
  - English Translation Document (if applicable)
  - Information Disclosure Statement /PTO 1449
  - Copies of IDS Citations
  - Preliminary Amendment
  - Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - Certified Copy of Priority Document(s).
  - Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
  - Other:

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. Customer Number or Bar Code Label 6449

Address	Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W.				
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Brian A. Tollefson, Reg. No. 46,338				
Signature	<i>Brian A. Tollefson</i>			Date January 23, 2004	

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012304  
U.S. PTO

**FEE TRANSMITTAL  
for FY 2003  
(Small Entity)**

Complete if Known			
Application Number		New	
Filing Date		January 23, 2004	
First Named Inventor		Frank D. Lortscher, Jr.	
Examiner Name		Unassigned	
Group Art Unit		Unassigned	
Total Amount of Payment	(\$910.00)	Attorney Docket Number	3029-101

**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status.
- Payment Enclosed:
  - Check
  - Credit Card

**FEE CALCULATION**

**1. FILING FEE**

Fee Code	Fee \$	Fee Description	Fee Paid
2001	385	Utility filing fee	[ 385.00 ]
2002	170	Design Filing Fee	[ ]
2003	265	Plant Filing Fee	[ ]
2004	385	Reissue Filing Fee	[ ]
2005	80	Provisional Filing Fee	[ ]

**SUBTOTAL** \$

**2. CLAIMS**

Total Claims	Independent Claims	Extra Claims	Fee	Fee Paid
[64]	- 20**	= [44]	x \$ 9	= [396.00]
Claims	[ 6 ]	- 3**	= [ 3 ] x	43 = [129.00]
Multiple Dependent Claims			+	145 = [ ]

\*\*or number previously paid, if greater;

**SUBTOTAL** \$ 910.00

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
2051	65	Surcharge - late filing fee or oath	[ ]
2052	25	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
2251	55	Extension for reply within first month	[ ]
2252	210	Extension for reply within second month	[ ]
2253	475	Extension for reply within third month	[ ]
2254	740	Extension for reply within fourth month	[ ]
2255	1,005	Extension for reply within fifth month	[ ]
2401	165	Notice of Appeal	[ ]
2402	165	Filing a brief in support of an appeal	[ ]
2403	145	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
2452	55	Petition to revive -unavoidable	[ ]
2453	475	Petition to revive - unintentional	[ ]
2501	665	Utility issue fee (or reissue)	[ ]
2502	240	Design issue fee	[ ]
2503	320	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
2809	385	Filing a submission after final rejection (37 CFR .129(a))	[ ]
2810	385	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
2801	385	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing an application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

\$910.00

SUBMITTED BY			Complete (if applicable)		
NAME AND REG. NUMBER	Brian A. Tollefson, Reg. No. 46,338				
SIGNATURE		DATE	January 23, 2004	DEPOSIT ACCOUNT USER ID	02-2135